

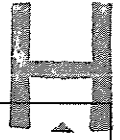
## INDICATIVE AUDIT COMMITTEE WORKPLAN 2013/14

**NOTE :-**

Proposed **substantive** items to be presented and discussed have been put in **bold**.  
Proposed *information* items that will not be presented are in *italics*  
Standing 'business' items are in normal font

\* Awareness / Briefing Session to be held prior to the meeting

Mtg. No.	Meeting Date	Audit Matters (Internal and External)	Risk Management Matters	Statutory Accounts / Financial Management	General Controls Assurance / Audit Committee General / Other
<b>2013/14</b>					
4	Wednesday 6 <sup>th</sup> November 2013 *	<ul style="list-style-type: none"> <li>• <b>Internal Audit Quarterly Report 2013/14 (Qu.2)</b></li> <li>• <b>External Audit - Annual Audit Letter 2012/13</b></li> <li>• External Audit – Progress Report &amp; Technical Update</li> <li>• Private item with the Internal Auditor and External Auditor (as required)</li> </ul>		<ul style="list-style-type: none"> <li>• <i>Corporate Finance and Performance Management &amp; Capital Programme Update</i></li> </ul>	<ul style="list-style-type: none"> <li>• <b>RIPA Update Report</b></li> <li>• Audit Committee workplan 2013/14</li> </ul>
5	Wednesday 11 <sup>th</sup> December 2013 *	<ul style="list-style-type: none"> <li>• <b>Review of the Effectiveness of Internal Audit – Action Plan Update</b></li> <li>• External Audit – Progress Report &amp; Technical Update</li> <li>• Private item with the Internal Auditor and External Auditor (as required)</li> </ul>	<ul style="list-style-type: none"> <li>• <b>SRR Review</b></li> <li>• <b>Risk Management Update Report</b></li> </ul>		<ul style="list-style-type: none"> <li>• <b>Annual Governance Statement 2012/13 Action Plan Update</b></li> <li>• <b>Review of Ombudsmen Complaints 2012/13</b></li> <li>• <b>Fraud Management Update Report</b></li> <li>• Audit Committee Workplan 2013/14</li> </ul>
6	Wednesday 22 <sup>nd</sup> January 2014 *	<ul style="list-style-type: none"> <li>• <b>Internal Audit Quarterly Report 2013/14 (Qu.3)</b></li> <li>• <b>External Audit - Certification of Claims &amp; Returns Annual Report 2012/13</b></li> <li>• External Audit – Progress Report &amp; Technical Update</li> <li>• Private item with the Internal Auditor and External Auditor (as required)</li> </ul>			<ul style="list-style-type: none"> <li>• <b>Annual Governance Statement Framework Review</b></li> <li>• <b>Local Code of Corporate Governance</b></li> <li>• <i>Performance Review Panel – Summary Report</i></li> <li>• Audit Committee workplan 2013/14</li> </ul>
7	Wednesday 26 <sup>th</sup> March 2014	<ul style="list-style-type: none"> <li>• <b>Internal Audit Charter and Strategy</b></li> <li>• <b>Internal Audit Plan 2014/15</b></li> <li>• <b>External Audit Recommendations Monitoring Report:</b> <ul style="list-style-type: none"> <li>• Annual Audit Letter 2012/13</li> <li>• Annual Governance Report</li> </ul> </li> <li>• External Audit – 2013/14 Audit - Audit Plan</li> </ul>	<ul style="list-style-type: none"> <li>• <b>Risk Management Policy &amp; Strategy</b></li> <li>• <b>Strategic Risk Register Review</b></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Treasury Management Policy &amp; Strategy Statements 2014/15</b></li> <li>• <i>2014/15 Budget Proposals</i></li> <li>• <i>Corporate Finance and</i></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Information Governance Update Report</b></li> <li>• Audit Committee workplan 2013/14</li> </ul>



Mtg. No.	Meeting Date	Audit Matters (Internal and External)	Risk Management Matters	Statutory Accounts / Financial Management	General Controls Assurance / Audit Committee General / Other
		<ul style="list-style-type: none"> <li>External Audit – Progress Report &amp; Technical Update</li> <li>Private item with the Internal Auditor and External Auditor (as required)</li> </ul>		<i>Performance Management &amp; Capital Programme Update</i>	
8	Wednesday 23 <sup>rd</sup> April 2014	<ul style="list-style-type: none"> <li><b>Internal Audit Quarterly Report 2013/14 (Qu4)</b></li> <li>External Audit – Progress Report &amp; Technical Update</li> <li>Private item with the Internal Auditor and External Auditor (as required)</li> </ul>			<ul style="list-style-type: none"> <li>Corporate Whistleblowing Policy Review and Update</li> <li>Audit Committee Review of Terms of Reference and Self-Assessment</li> <li><i>Audit Committee – Training Review and Skills Self-Assessment</i></li> <li>Audit Committee workplan 2013/14</li> </ul>

**To Note**

- Awareness/briefing sessions have been arranged for members prior to the following meetings\*
  - 6<sup>th</sup> November 2013
  - 11<sup>th</sup> December 2013
  - 22<sup>nd</sup> January 2014
- Further Audit Committee meeting have been planned for the following dates. A workplan will be issued nearer to the date.
  - 11<sup>th</sup> June 2014
  - 23<sup>rd</sup> July 2014
  - 22<sup>nd</sup> September 2014
  - 5<sup>th</sup> November 2014
  - 10<sup>th</sup> December 2014